

# backward chaining

## o goal driven, 'wishful thinking'

- theorems are **found** by backward chaining but **presented** via forward chaining

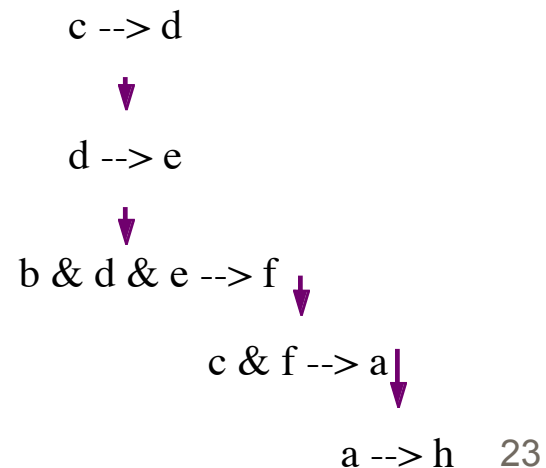
prove **h**, given **b, c**.          rules:

1)  $b \ \& \ d \ \& \ e \ \rightarrow f$ ; 2)  $d \ \& \ g \ \rightarrow a$ ; 3)  $c \ \& \ f \ \rightarrow a$ ; 4)  $c \ \rightarrow d$ ; 5)  $d \ \rightarrow e$ ; 6)  $a \ \rightarrow h$

6) prove a; 2) prove d, g; 4) proves d; g impossible, so backup!

3) prove c, f; c given; 1) prove b, d, e; b given; 4) proves d; 5) proves e;

now we have f; 3) proves a, 6) proves h.



# resolution

o just one inference rule!

e.g.

$\neg A$   
 $A \leftarrow B$

resolvent:  $\neg B$

$\neg(\text{dark \& winter \& cold})$   
winter if january  
resolvent:  $\neg(\text{dark \& january \& cold})$

e.g.

$\neg(A_1, \dots, A_n)$   
 $A_k \leftarrow B_1, \dots, B_m \quad (1 \leq k \leq n)$

resolvent:  $\neg(A_1, \dots, A_{k-1}, B_1, \dots, B_m, A_{k+1}, \dots, A_n)$

e.g.

- 1) receives(you, power)  $\leftarrow$  gives(logic, power, you)
- 2) gives(logic, power, you)
- ? receives(you, power)
- 3) **query:  $\neg$  receives(you, power)**
- 1), 3) imply 4)  $\neg$  gives(logic, power, you)
- 2), 4) imply  $\square$ ; answer: yes

# resolution, cont.

convert fmlas to **clausal form (CNF)**

$\alpha = \alpha_1 \wedge \alpha_2 \dots \wedge \alpha_n$ , where  $\alpha_i = \beta_{i,1} \vee \dots \vee \beta_{i,k}$  and each  $\beta_{i,j}$  is a **literal**.

Conversion (in propositional logic) uses

$$(\alpha \rightarrow \beta) \leftrightarrow (\neg\alpha \vee \beta)$$

$$(\alpha \leftrightarrow \beta) \leftrightarrow (\alpha \wedge \beta) \vee (\neg\alpha \wedge \neg\beta)$$

$$\neg(\alpha \vee \beta) \leftrightarrow (\neg\alpha \wedge \neg\beta)$$

$$\neg(\alpha \wedge \beta) \leftrightarrow (\neg\alpha \vee \neg\beta)$$

$$\neg\neg\alpha \leftrightarrow \alpha$$

$$((\alpha \wedge \beta) \vee \gamma) \leftrightarrow ((\alpha \vee \gamma) \wedge (\beta \vee \gamma))$$

e.g. convert  $((p \rightarrow q) \rightarrow (p \wedge q))$ :

$$\neg(p \rightarrow q) \vee (p \wedge q), \quad \neg(\neg p \vee q) \vee (p \wedge q),$$

$$(p \wedge \neg q) \vee (p \wedge q), \quad (p \vee p) \wedge (p \vee q) \wedge (\neg q \vee p) \wedge (\neg q \vee q),$$

$$(p \vee q) \wedge (\neg q \vee p), \text{ i.e. } \{p, q\}, \{\neg q, p\}.$$

# resolution, cont.

o If clause  $C_1$  contains  $p$  and  $C_2$  contains  $\neg p$ , then the **resolvent of  $C_1$  and  $C_2$  on  $p$**  is a clause containing all other elements of  $C_1$  and  $C_2$ .

o Principle of (propositional) resolution:

$$\therefore ((p \vee \alpha) \wedge (\neg p \vee \beta)) \rightarrow (\alpha \vee \beta)$$

$\neg p \rightarrow \alpha, p \rightarrow \beta$ , and since  $p \vee \neg p$ :  
 $\alpha \vee \beta$ , i.e.  $\neg \alpha \rightarrow \beta$ .  
.... transitivity of implication

o algorithm: to derive  $\alpha$  from premisses  $S$ :

convert  $S$  and  $\neg \alpha$  to clausal form (and aim for a contradiction)

repeat

pick  $p$  and  $C_1, C_2$  that can be resolved on  $p$

simplify resolvent by eliminating duplicates

remove resolvent if it has both a  $q$  and  $\neg q$

add resolvent to original set if not there

if the empty clause results,  $S$  implies  $\alpha$ .

# conversion to clausal form

- o e.g.  $\{c \rightarrow s, \neg g \rightarrow d, \neg g \vee c\}$  implies  $\neg s \rightarrow d$  ???

$\neg c \vee s, g \vee d, \neg g \vee c,$  neg of conclusion:  $\neg s \wedge \neg d$

$C_1 = \{\neg c, s\}, C_2 = \{g, d\}, C_3 = \{\neg g, c\}, C_4 = \{\neg s\}, C_5 = \{\neg d\},$

$((((C_2 * C_5) * C_3) * C_1) * C_4) = \square = \text{empty clause (successful derivation)}$

- o e.g.  $\{\neg p \rightarrow r, p \rightarrow s, r \rightarrow q, s \rightarrow \neg t, t\}$  implies  $q$ ??

$C_1 = \{p, r\}, C_2 = \{\neg p, s\}, C_3 = \{\neg r, q\}, C_4 = \{\neg s, \neg t\}, C_5 = \{t\}, C_6 = \{\neg q\}.$

$\dots (((C_3 * C_6) * C_1) * C_2) * C_4) * C_5 = \text{empty clause } \square$

- o e.g. prove  $p \vee \neg p$ : negate:  $\neg p \wedge p$ ;  $\{\neg p\}, \{p\}$  resolves to empty clause.

Conversion to clausal form is a bit trickier in fol:

$$\neg \forall u \Phi \Leftrightarrow \exists u \neg \Phi, \neg \exists u \Phi \Leftrightarrow \forall u \neg \Phi$$

standardize variables apart:  $\forall x Fx \wedge \exists x Gx$  becomes  $\forall x Fx \wedge \exists y Gy$

# converting fol to clausal form

o  $\neg\forall v \Phi \Leftrightarrow \exists v \neg\Phi, \neg\exists v \Phi \Leftrightarrow \forall v \neg\Phi$

o remove  $\exists$ :

if  $\exists$  is not in scope of universal quantifier, drop it and replace quantified variable by **new** constant,

else ... by a term formed from **new function symbol** applied to variables associated with enclosing universal quantifiers (Skolem function)

$\forall x\forall y\exists z F(xyz)$  becomes  $\forall x\forall y F(xySk(xy))$

o remove  $\forall$

o put into CNF, drop operators, standardize apart again; add **unification**:

1.  $\{Px, Qxy\}$

2.  $\{\neg Pa, Rbz\}$

3.  $\{Qay, Rbz\}$  1,2

# a very modest example

- o assume: Art is father of Joe, Bob is father of Kim, fathers are parents. **Is Art parent of Joe?**

1. {Faj}	2. {Fbk}	3. { $\neg$ Fxy, Pxy}	4. { $\neg$ Paj}	neg of conclusion
5. {Paj}			1,3	
6. {Pbk}			2,3	
7. { $\neg$ Faj}			3,4	
8. {}			1,7	
9. {}			4,5	

- o **who is Joe's parent?** 1..3 as above; 4. { $\neg$ Pzj, Az}

5. {Paj}		1,3	
6. {Pbk}		2,3	
7. { $\neg$ Fwj, Aw}		3,4	
8. {Aa}		4,5	
9. {Aa}		1,7	A(i.e. answer)=Art

# unification --- general pattern matching

- unification is needed to make predicate logical expressions **identical** for resolution
- a **substitution**  $\sigma$  is a set of assignments of terms to vbles, no vbl being assigned more than 1 term
  - $E \sigma$  (subst. inst. of  $E$ ): replace vbls in  $E$  by terms assigned by  $\sigma$ .  
vbls in  $E$ , not mentioned in  $\sigma$ : unchanged.  
assignments in  $\sigma$  to vbls not in  $E$ : ignored.
- $E_1 \sigma = E_2 \sigma$ : **common instance** of  $E_1, E_2$ ;  
 $\sigma$  is a **unifier** for  $E_1$  and  $E_2$
- $E_1, \dots, E_n$  are **unifiable** if there is a  $\sigma$  making them identical:  
 $E_1 \sigma = E_2 \sigma = \dots$



# unification, cont.

- for all E,  $E(\sigma \circ \lambda) = (E\sigma) \circ \lambda$
- $\sigma$  is a **most general unifier** of set X if every unifier  $\lambda$  of X satisfies  $\lambda = \sigma \circ \lambda$
- if X is unifiable then there exists a MGU

$p(x), p(5); \sigma = \{x \leftarrow 5\};$  ci:  $p(x) \sigma = p(5) \sigma = p(5)$ .

$p(x,x), p(5,y); \sigma = \{x \leftarrow 5, y \leftarrow 5\};$  ci:  $p(5,5)$ .

$p(1,3), p(x,g(5,y));$  no match

$p(x,g(joe,y)), p(h(3),g(z,mary))$

$\sigma = \{x \leftarrow h(3), y \leftarrow mary, z \leftarrow joe \};$  ci:  $p(h(3),g(joe,mary))$ .

$p(y,g(jack,y)), p(mary,g(w,z))$

$\sigma = \{y \leftarrow mary, w \leftarrow jack, z \leftarrow mary \};$  ci:  $p(mary, g(jack,mary))$ .

# unification, cont.

- each vbl is associated with at most one expression
  - no vbl with an associated expression occurs in any of the assoc exprs
    - e.g.  $\{x/g(y), y/f(x)\}$  not a substitution
  - composing  $\sigma_1$  with  $\sigma_2$ : apply  $\sigma_1$  to terms of  $\sigma_2$  and add to  $\sigma_2$  the bindings from  $\sigma_1$ 
    - $\{w/g(x,y)\} \circ \{x/A, y/B, z/C\} = \{w/g(A,B), x/A, y/B, z/C\}$
    - $\{x/A, y/B, z/C\}$  unifies  $p(A,y,z)$  and  $p(x,B,z)$  but - instead of  $z/Z$  - we could have  $z/D, z/f(w)$  or nothing ....
- MGU =  $\{x/A, y/B\}$

# unification algorithm

MGU (x, y)  $\leftarrow$

x = y  $\rightarrow$  return {};

Vbl(x)  $\rightarrow$  return (MGUvar (x,y)); Vbl(y)  $\rightarrow$  return (MGUvar (y,x));

Constant(x) or Constant(y)  $\rightarrow$  return false;  $\neg(\text{length}(x) = \text{length}(y)) \rightarrow$  return false;

i  $\leftarrow$  0; g  $\leftarrow$  { };

while i < length(x) do

    s  $\leftarrow$  MGU (Part(x, i), Part(y, i)); // toplevel fun or pred constant is Part 0

    s false  $\rightarrow$  return false;

    g  $\leftarrow$  Compose(g, s); x  $\leftarrow$  Subst(x, g); y  $\leftarrow$  Subst(y, g); // returns expr  $\sigma$

    i++;

return g; // i.e. MGU

length=# of args; Subst(expr, substitution) returns resulting expr after applying substitution; Part(expr,i) is ith part of expr.

# unification algorithm, cont.

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MGUvar (x, y) ← // don't unify p(x) with p(f(x)) ....
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```
  Includes (x, y) → return false; // fail
```

```
  return ({x/y}).
```

Includes (var, expr) checks whether var occurs in term with which it is being unified ....  
**occurs check**

occurs check prevents circularities:

given (not (sees ?z ?z)), (if (not( sees ?x (feet ?x))) (shouldDiet ?x)),

1. call of MGUvar(?z ?x) returns {?z/?x}

2.call: ?z already bound to ?x, thus

3. (recursive) call: MGUvar (?x (feet ?x));

without occurs check, this would return {?x/(feet ?x)}. **not** a unifier! We don't want:  
(shouldDiet (feet ?x))!!

# unification algorithm, cont.



given:

(on ?x table)

(if (on something ?x)(collapses ?x))

does this imply:

(collapses table) ???

(on ?x table) and (on something ?x) do **not** unify because of **coincidental** occurrence of ?x !

\* don't forget to rename vars so that no var occurs in more than one clause: **standardizing apart**

(on ?x table) and (on something ?y) unify: {?x/something, ?y/table}

# unification, cont.



- $f(X,a)=f(a,X)$ 
  - succeeds with  $X=a$
- $likes(jane,X)=likes(X,jim)$ 
  - fails
- $f(X,Y)=f(P,P)$ 
  - succeeds with  $X=P, Y=P$
- $[a,b | X]=[A,B,c]$ 
  - succeeds with  $A=a, B=b, X=[c]$
- $[X | Y]=[a]$ 
  - succeeds with  $X=a, Y=[]$
- $[X | Y]=[]$ 
  - fails